

Docket No.

2155501USOX.PCT



4D02
#8

UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Peter OTTERSBAACH, et al.

SERIAL NO: 09/926,507

GAU: 1713

FILED: February 7, 2002

EXAMINER:

FOR: PROCESS FOR PREPARING INHERENTLY MICROBICIDAL POLYMER SURFACES

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
215550US0X PCTSERIAL NO.
09/926,507

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Peter OTTERSBACH, et al.

FILING DATE

02/07/02

GROUP

1713

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,967,714	10/19/99	OTTERSBACH, et al.			
	AB	6,096,800	08/01/00	OTTERSBACH, et al.			
	AC	6,203,856	03/20/01	OTTERSBACH, et al.			
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0689-0740-0	5,967,714	10/19/99	OTTERSBACH, et al.
0689-0741-0	6,096,800	08/01/00	OTTERSBACH, et al.
0689-0812-0 CONT	6,203,856	03/20/01	OTTERSBACH, et al.
219183US0X PCT	10/049,642	02/25/02	PENDING
219449US0X PCT	10/069,562	02/27/02	PENDING
219902US0X PCT	10/070,817	03/11/02	PENDING
219903US0X PCT	10/070,813	03/11/02	PENDING
207170US0X PCT	09/869,323	07/24/01	PENDING
215505US0X PCT	09/926,479	01/25/02	PENDING
215516US0X PCT	09/926,504	11/13/01	PENDING
215519US0X PCT	09/926,506	02/13/02	PENDING
215525US0X PCT	09/926,472	01/25/02	PENDING
215526US0X PCT	09/926,470	01/28/02	PENDING
215534US0X PCT	09/926,508	01/03/02	PENDING
215548US0X PCT	09/926,510	02/07/02	PENDING
215549US0X PCT	09/926,471	01/25/02	PENDING
215550US0X PCT*	09/926,507	02/07/02	PENDING

*Present application; listed for information.